Katten

SPEAKING ENGAGEMENT



FINRA Institute Market Regulation Course

Presented by the Financial Industry Regulatory Authority, Inc.

September 9, 2008

Partner Gary Distell, along with FINRA Director Yvonne Huber and Deputy Director Scott Trilling, will speak on "Market Structure Issues" from 8:45 - 10:15 a.m.

The course covers rules and regulatory requirements governing trading of securities. The curriculum includes insider trading, market structure, customer order handling, trade reporting and surveillance. Coursework also addresses trading and market making, regulatory examinations and regulatory inquiries.

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