## Katten

## **SEMINAR**



## Know Your "Customer" or "Investor"

## For Broker-Dealers and Fund Managers

March 28, 2006

Katten Muchin Rosenman LLP's Financial Services Practice attorneys present and discuss issues relating to the offering of private investment funds and other alternative investments, including:

- Suitability and disclosure considerations
- Applicable Federal securities laws
- SEC and NASD guidance
- Anti-money laundering issues
- Internet considerations
- Variable insurance products

Please RSVP by March 24 to <a href="mailto:events@katten.com">events@katten.com</a>, including the words "Know Your Customer" in your email subject.

Katten Muchin Rosenman LLP has been certified by the New York State Continuing Legal Education Board as an Accredited Provider of continuing legal education in the State of New York for the period: January 1, 2005 through December 31, 2008. Attendees will receive 1.5 CLE credits in Professional Practice.

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