Katten

SEMINAR



Recent Publicity Concerning Proprietary Trading: Potential Regulatory Responses

May 6, 2014

Katten will host the panel discussion "Recent Publicity Concerning Proprietary Trading: Potential Regulatory Responses" at 5:00 p.m. on Tuesday, May 6. Partners Janet Angstadt, Christian Kemnitz, Scott Resnik and Lance Zinman will be joined by special counsel Gary DeWaal as they address the following futures and securities topics:

- allegations of unfair advantages for low-latency trading strategies;
- what is next for payment for order flow, maker/taker pricing and Regulation NMS;
- newswire practices;
- dark pools;
- SEC, FINRA and CFTC enforcement update;
- US Department of Justice and New York Attorney General investigations; and
- regulatory and market structure implications.

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Christian T. Kemnitz
+1.312.902.5379
christian.kemnitz@katten.com



Scott A. Resnik
+1.212.940.8543
scott.resnik@katten.com



Lance A. Zinman
+1.312.902.5212
lance.zinman@katten.com

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