

New SEC Initiatives Affecting Equity and Options Trading

March 18, 2010

Katten attorneys Janet Angstadt, Timothy Elliott, Marilyn Selby Okoshi, Ross Pazzol and James Van De Graaff will speak as panelists on "New SEC Initiatives Affecting Equity and Options Trading" on Thursday, March 18. Partner Lance Zinman will moderate the panel.

Topics that will be discussed include:

- SEC market access proposal and Nasdaq's new sponsored access rule
 - Short sale regulation
 - Dark pool proposals
 - SEC review of high frequency trading
 - Effects on dealer/customer relationships
-

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Lance A. Zinman

+1.312.902.5212

lance.zinman@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2025 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.