Katten

SEMINAR

New SEC Initiatives Affecting Equity and Options Trading

March 18, 2010

Katten attorneys Janet Angstadt, Timothy Elliott, Marilyn Selby Okoshi, Ross Pazzol and James Van De Graaff will speak as panelists on "New SEC Initiatives Affecting Equity and Options Trading" on Thursday, March 18. Partner Lance Zinman will moderate the panel.

Topics that will be discussed include:

- SEC market access proposal and Nasdaq's new sponsored access rule
- Short sale regulation
- Dark pool proposals
- SEC review of high frequency trading
- Effects on dealer/customer relationships

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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