



Proprietary Trading Issues for 2011

January 25, 2011

Topics covered at this seminar will include regulatory developments related to the trading of equities and derivatives that will affect proprietary trading firms, such as:

- Possible CFTC rulemaking and enforcement activities concerning manipulation and "disruptive trading practices" under Dodd-Frank
- Possible CFTC rulemaking concerning position limits under Dodd-Frank
- New market access requirements under SEC Rule 15c3-5
- Additional SEC rulemaking stemming from the "Flash Crash"

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