



Sixth Annual National Institute on Securities Fraud

Presented by the ABA Center for Continuing Legal Education

November 3–4, 2011

Partners Gil Soffer and Sheldon Zenner will participate in panel discussions on Thursday, November 3, during PLI's Sixth Annual National Institute on Securities Fraud. The 2011 program will address the issues and trends that are shaping the direction of federal securities regulation and enforcement for decades to come.

Mr. Soffer will serve as a panelist for "To Be or Not To Be: The Uncertain Future of Dodd-Frank" at 11:30 a.m. The panel will explore the controversial aspects of Dodd-Frank, including the whistleblower provisions, the creation of the Consumer Financial Bureau, and the requirement that covered financial institutions disclose to their respective regulators "the structure of all-incentive compensation" paid to officers, directors and employees.

Mr. Zenner will moderate a discussion on "Internal Investigations: Where Is My Deputy Badge?" at 1:45 p.m. The panel will explore the question of when SEC and DOJ requests transform private conduct into state action and the implications.

CONTACTS

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