

## PLI Understanding the Securities Laws 2006

**Presented by the Practising Law Institute**

November 2–3, 2006

Partner Adam Klein will be a panelist in the session "Resales of Securities" on Thursday, November 2 at 2:15 p.m.; Partner Maryann Waryjas will be a panelist in the session "Ethics and Professionalism in Securities Law" on Friday, November 3 at 11:15 a.m.; and Partner Mark Wood will Co-Chair the program and serve as a panelist in the sessions, "Liability for Exchange Act Violations" on Friday, November 3 at 1:30 p.m. and "Recent Developments in Securities Laws" on Friday, November 3 at 2:30 p.m.

This program will provide an up-to-the-minute understanding of the interplay of the basic underpinnings of the Securities Act of 1933, the Securities Exchange Act of 1934, the Sarbanes-Oxley Act and subsequent SEC rulemaking. Emphasis will be on both the substance of these acts and their rules and on how a securities lawyer can solve practical problems.

For more information about the conference, or to register [click here](#).

---

### CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



**Adam R. Klein**

+1.312.902.5469

[adam.klein@katten.com](mailto:adam.klein@katten.com)

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2025 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at [katten.com/disclaimer](https://katten.com/disclaimer).