

## PLI Understanding the Securities Laws 2009

### Presented by the Practising Law Institute

November 16–17, 2009

Partner Adam Klein will speak on “Resales of Securities” at 2:45 p.m. on Monday, November 16.

Partner Mark Wood will co-chair the conference and will speak on “Securities Law Implications of Executive Compensation” at 9:00 a.m. on Tuesday, November 17.

Partner Maryann Waryjas will speak on “Ethics and Professionalism in Securities Law” at 11:30 a.m. on Tuesday, November 17.

Topics of the conference include:

- Securities law “basics,” including determining when an investment scheme constitutes a federal “security”
- Regulatory framework of the Securities Act
- Securities Act registration process, including Forms S-1 and S-3
- Securities Act exemptions
- Resales of securities

For more information, click [here](#).

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### CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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