Katten

SPEAKING ENGAGEMENT

PLI Understanding the Securities Laws 2011

Presented by Practising Law Institute

October 27–28, 2011

Partners Mark Wood and Maryann Waryjas will participate in PLI's “Understanding the Securities Laws 2011.” This program is ideal for attorneys new to the securities law practice and those seeking a refresher course on the integrated disclosure system as a whole, annual reporting obligations, and common triggers of the “duty to disclose.” In addition, analysis of the interplay among the '33 and '34 Acts, the Sarbanes-Oxley Act, the Dodd-Frank and related SEC regulations will be provided. Finally, the latest on the regulation of proxy solicitations, including “proxy access” and “say on pay” rules will be discussed. Attendees can earn up to one full our of Ethics credit, and there will be a special segment devoted specifically to inside counsels' perspectives on securities law issues.

Mr. Wood, who also serves as co-chair of the program, will speak on "Disclosure Basics" at 2:30 p.m. on Thursday, October 27. Ms. Waryjas will speak on "Ethics and Professionalism in Securities Law" at 11:30 a.m. on Friday, October 28.

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2026 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.