Katten

WEBINAR



Cross-Border Security-Based Swap Transactions: Regulatory Updates for 2016 and Beyond

Presented by The Knowledge Group

December 15, 2015

Carolyn Jackson, a partner in the Financial Services practice, will host a webinar,"Cross-Border Security-Based Swap Transactions: Regulatory Updates for 2016 and Beyond" on Tuesday, December 15 at 12:00 p.m. (ET). Carolyn will review past regulations and discuss the nuances and importance of the changed rules. She will discuss the implications of the new rules for cross-border security-based swap transactions, and share best practices in identifying and mitigating risk in cross-border security-based swap transactions.

Webinar Topics

- An overview of Title VII of the Dodd–Frank Wall Street Reform and Consumer Protection Act
- Security-based swap (SBS) transactions updates
- De minimis counting requirements
- De minimis exceptions
- External business conduct requirements
- Framework for external business conduct
- SBS trade execution
- Scope and limitation of the rules
- Costs and benefits of the proposed rules
- Regulatory developments
- Risk mitigation and compliance

For more information or to register for the webinar, click here.

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



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