Katten





A Review of CFTC Proposed Regulation AT and Its Potential Practical Impact

Presented by Katten Muchin Rosenman LLP

December 17, 2015

Katten Financial Services attorneys will participate in the webinar, "A Review of CFTC Proposed Regulation AT and Its Potential Practical Impact" on Thursday, December 17 at 12:00 p.m. (ET). The webinar will address the following topics:

- status of US regulation regarding automated and algorithmic futures trading;
- overview of proposed Regulation AT;
- impact on different market segments: algorithmic traders, futures commission merchants, floor brokers and introducing brokers, swap dealers and major swap participants, commodity trading advisors and commodity pool operators, designed contract markets, and the National Futures Association:
- impact on compliance officers;
- potential unintended consequences;
- comparison of Regulation AT and current US securities laws and new initiatives from the Securities and Exchange Commission; and
- insights and next steps.

To view a recording of the webinar, click <u>here</u>.

To view the slides, click here.

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Christian T. Kemnitz +1.312.902.5379 christian.kemnitz@katten.com



Lance A. Zinman +1.312.902.5212 lance.zinman@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion. ©2025 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.