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Nathaniel Lalone Comments on MiFID II Reporting

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Financial Services partner Nathaniel Lalone was quoted in a IFLR *Practice Insight* article on reporting challenges faced by trading venues and investment firms as a result of this year's implementation of the revised Markets in Financial Instruments Directive (MiFID II). Nate indicated that there is confusion among larger, multinational firms on how and what to file, and if separate reports are needed. He stated, "There are plenty of major conglomerates out there with multiple different legal entities, one or two of which might only face the parent or affiliate. But when the EU client sees 100 percent of its business goes to its own parent, then that could be a problem—especially if the parent is non-EU." ("Mifid II: Best Execution Reports Crippled by Divergence," May 17, 2018)

CONTACTS

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