

Kimberly Smith Shares Insight on PE Regulatory Compliance With *Law360*

June 3, 2019

In part one of a two part series, national Private Equity co-chair Kimberly Smith spoke with *Law360* on the importance of private equity firms having formal compliance programs to contend with both US and cross-border regulations. Kim stated, "While under the current administration there may be a reduced emphasis on enforcement in at least some areas, we are not seeing PE investors let down their guard when it comes to compliance. In fact, in many deals we see more of a concern about compliance-related risk than ever before due to the sky-high valuations at which many businesses are trading. When buyers have no choice but to pay top dollar for a company, there is virtually no margin for error, which can lead to more risk-averse behavior when it comes to assessing the risk related to a potential compliance issue." ("[Why Law Firms Must Have A Compliance Plan For PE Clients](#)," May 17, 2019)

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Kimberly T. Smith

+1.312.902.5411

kimberly.smith@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2026 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at [katten.com/disclaimer](https://www.katten.com/disclaimer).