

Kimberly Smith Talks With *Law360* on PE Compliance Pitfalls

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In the second part of a two part series, national Private Equity co-chair Kimberly Smith shares with *Law360* her thoughts on why attorneys need to help private equity firms develop compliance plans that go beyond the usual enforcement actions. Kim stated, "We drill down further on certain potential risks driven by the relevant industry and the particular business within that industry. We also pay close attention to how the target's revenue is derived and where it comes from, which is one example of how we tailor our approach for each deal based on the unique characteristics of the target. A lot of thought goes into what to dig into because we need to make sure we are addressing the key areas without bogging down the process." ("[3 Less Common PE Compliance Issues The SEC Is Watching](#)," May 24, 2019)

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