Katten

FIRM NEWS

Rick Marshall Talks Hedge Fund Compliance in the Face of Remote Work

March 26, 2020

Financial Markets and Funds partner Richard Marshall spoke with *FundFire, a Financial Times* publication, on managing compliance challenges while adjusting to the work-from-home reality during the COVID-19 pandemic. Rick noted that employee oversight is key for hedge funds managers. He stated, "It's harder to supervise people in this setting. You have to be on top of that. If there is a problem, you have a rogue, I wouldn't be surprised if two years from now when this is all behind, the government won't be so forgiving about that." ("Remote Compliance: Hedge Funds Face Trading, Supervision Risks," March 25, 2020)

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Richard D. Marshall
+1.212.940.8765
richard.marshall@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2026 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.