Katten

FIRM NEWS

Katten Team Represents Headlands Tech Holdings in Broker-Deal Transaction

March 23, 2021

Katten announced it is representing Headlands Tech Holdings, LLC, a global quantitative trading firm, in its sale of Headlands Tech Global Markets, LLC, a Financial Industry Regulatory Authority (FINRA) registered broker-dealer, to Toronto-Dominion Bank (TD). The acquisition is expected to help TD strength its electronic bond trading infrastructure and enhance its clients' trading experience.

The Katten team included partner and Financial Markets and Funds Global Chair Lance Zinman, partner and Financial Transactions and Trading Chair Christopher Shannon, Corporate partner Tanya Curtis, and Financial Markets and Funds associates Jack West and Elizabeth White.

The transaction is expected to close mid-2021, subject to receipt of regulatory approvals and satisfaction of other customary closing conditions.

TD's press release, "TD Bank Group to acquire electronic fixed income trading business"

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Lance A. Zinman
+1.312.902.5212
lance.zinman@katten.com



Christopher T. Shannon
+1.312.902.5322
chris.shannon@katten.com



Tanya L. Curtis
+1.312.902.5593
tanya.curtis@katten.com



Jack A. West
+1.312.902.5463
jack.west@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2026 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.