

Katten Represents Vivaldi Holdings in Joint Venture Combination With First Trust Capital Partners

December 2, 2021

Katten represented Vivaldi Holdings and its subsidiaries Vivaldi Asset Management, a registered investment adviser with over $1.9 billion of assets under management, and Vivaldi Innovative Technologies in connection with a joint venture combination of Vivaldi's investment management and investment research businesses with First Trust Capital Partners, LLC, an affiliate of a registered investment adviser and broker-dealer with over $218 billion of assets under management. The resulting joint venture is called First Trust Capital Solutions L.P. Vivaldi will oversee the day-to-day operations of the joint venture, including its alternative investment platform of public and private investment funds.

Katten also represented Vivaldi Capital Management, a registered investment adviser with over $2 billion of assets under management, in an internal restructuring that accompanied the First Trust joint venture transaction.

Financial Markets and Funds Global Chair Lance Zinman and partner Timothy Kertland led the Katten team that included counsel Rong Zhao and associates Shelby Kost and Rachel Kammerzell.

CONTACTS

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