

### Partner Lance Zinman Discusses SEC Hedge Fund Marketing Rule on *John Lothian News*

August 20, 2013

Lance Zinman, head of the Chicago Financial Services practice, appeared on *John Lothian News* on August 15, 2013, to discuss the recent Securities and Exchange Commission rule on private fund solicitation. Lance spoke with editor-at-large Doug Ashburn and answered the following questions:

- What are the key points of the SEC rule lifting the ban on marketing by private funds?
  - What is the scope of the rule on “disqualifying events”?
  - Is the rule expected to usher in a wave of marketing on behalf of hedge funds?
  - What is Katten telling clients regarding the marketing rules?
- 

#### CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



**Lance A. Zinman**

+1.312.902.5212

[lance.zinman@katten.com](mailto:lance.zinman@katten.com)

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2026 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at [katten.com/disclaimer](https://www.katten.com/disclaimer).