



## *Corporate and Financial Weekly Digest - Volume IX, Issue 11*

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To read the *Digest* online, click [here](#).

This week's issue addresses the following topics:

- [NASDAQ Launches Marketplace for Private Companies](#)
- [SEC Proposes Rules to Enhance Oversight of Clearing Agencies](#)
- [FINRA Proposes to Amend Rules 2210 and 2214](#)
- [CME Group Exchanges Adopt Revised Rules Regarding Transfer Trades and Concurrent Long and Short Positions](#)
- [Joint Audit Committee Adopts Template Acknowledgment Letters](#)
- [CFTC Announces Available-to-Trade Determinations](#)
- [CFTC to Host Roundtable on Commodity Pool Operator Risk Management Practices](#)
- [New York Department of Financial Services Issues Public Order to Invite Virtual Currency Exchange Proposals](#)
- [SEC Prevails in Lawsuit Involving \\$50 Million Ponzi Scheme](#)
- [SEC Brings Lawsuit Against Former Carter's Executive for Insider Trading and Tipping](#)

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