

## *Corporate and Financial Weekly Digest - Volume IX, Issue 47*

December 5, 2014

To read the *Digest* online, click [here](#).

This week's issue addresses the following topics:

- [SEC Sets October 2015 Target Date for Certain Dodd-Frank and JOBS Act Rulemaking](#)
- [FINRA Issues Notice on TRACE Trade Reporting Obligations](#)
- [NFA Issues Guidance on Exempt and Excluded CPOs and CTAs](#)
- [NFA Proposes Amendment to NFA Compliance Rule 2-4](#)
- [NYS DFS Publishes All BitLicense Comments; California Considers Regulation](#)
- [SEC Issues Third-Annual Dodd-Frank Whistleblower Program Report](#)
- [Expedited Proceedings Denied Where Harm Is Only Speculative](#)
- [SEC Charges Company Executives With Issuing False Press Releases to Inflate Stock Price](#)
- [OCC's Curry Advocates Regulatory Reduction for Smaller Banks and Thrifts](#)
- [FFIEC Releases Revised \*BSA/AML Examination Manual\*](#)
- [New European Data Protection Guidelines Published](#)
- [AIFM Directive – Latvia, Poland and Spain Warned by European Commission for Failing to Fully Implement New Investment Fund Rules](#)

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### CONTACTS

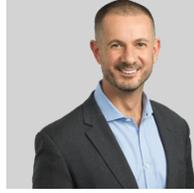
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