



Corporate and Financial Weekly Digest - Volume IX, Issue 47

December 5, 2014

To read the *Digest* online, click [here](#).

This week's issue addresses the following topics:

- [SEC Sets October 2015 Target Date for Certain Dodd-Frank and JOBS Act Rulemaking](#)
- [FINRA Issues Notice on TRACE Trade Reporting Obligations](#)
- [NFA Issues Guidance on Exempt and Excluded CPOs and CTAs](#)
- [NFA Proposes Amendment to NFA Compliance Rule 2-4](#)
- [NYS DFS Publishes All BitLicense Comments; California Considers Regulation](#)
- [SEC Issues Third-Annual Dodd-Frank Whistleblower Program Report](#)
- [Expedited Proceedings Denied Where Harm Is Only Speculative](#)
- [SEC Charges Company Executives With Issuing False Press Releases to Inflate Stock Price](#)
- [OCC's Curry Advocates Regulatory Reduction for Smaller Banks and Thrifts](#)
- [FFIEC Releases Revised *BSA/AML Examination Manual*](#)
- [New European Data Protection Guidelines Published](#)
- [AIFM Directive – Latvia, Poland and Spain Warned by European Commission for Failing to Fully Implement New Investment Fund Rules](#)

CONTACTS

For more information, contact your Katten attorney or any of the following attorneys.



Mark D. Wood

+1.312.902.5493

mark.wood@katten.com



Neil Robson

+44 (0) 20 7776 7666

neil.robson@katten.co.uk



Timothy D. Kertland

+1.312.902.5343

timothy.kertland@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion.

©2025 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.