## Katten

## **NEWSLETTER**



## Corporate and Financial Weekly Digest - Volume IX, Issue 49

December 19, 2014

To read the *Digest* online, click here.

This week's issue addresses the following topics:

- Delaware Chancery Court Declines to Dismiss Fraud Claims Against Private Equity Fund and Directors
- Agencies Amend Regulatory Capital and Liquidity Coverage Ratio Rules
- FINRA Proposes Rule Change to Identify Transactions with Non-Member Affiliates
- FINRA Proposes Rule Change to Codify Reporting Requirement for Accounts Acting in Concert
- Swap Push-Out Rule Narrowed
- CFTC Extends Relief from Certain Recordkeeping Requirements
- CFTC Approves Amended Order of Registration for LCH.Clearnet Ltd.
- CFTC Extends Relief to Four Foreign Clearing Organizations
- NFA Proposes Amended Interpretive Notice Regarding Loans to CPOs by Commodity Pools
- CME Issues Revisions to Wash Trade Advisory Notice
- Conference of State Bank Supervisors Issues Draft Model Regulatory Framework on Virtual Currency
- DFS Superintendent Lawsky Discusses Revised BitLicense Framework
- SEC Urges Third Circuit to Adopts Its Interpretation of Whistleblower Retaliation Protections
- Southern District Dismisses Complaints Against China North Director and Consultant
- OCC Issues Bank Accounting Advisory Series Update
- Congress Eases Debt Level Restrictions for Smaller Banks, Thrifts

- FCA Imposes Industry Ban on Fare Dodger
- ESMA Publishes Report on High-Frequency Trading Activity in EU Equity Markets

## **CONTACTS**

For more information, contact your Katten attorney or any of the following attorneys.



Christina J. Grigorian +1.202.625.3541 christina.grigorian@katten.com



Mark D. Wood +1.312.902.5493 mark.wood@katten.com



Nathaniel Lalone +44 (0) 20 7776 7629 nathaniel.lalone@katten.co.uk



**Neil Robson** +44 (0) 20 7776 7666 neil.robson@katten.co.uk



Timothy D. Kertland +1.312.902.5343 timothy.kertland@katten.com

Attorney advertising. Published as a source of information only. The material contained herein is not to be construed as legal advice or opinion. ©2025 Katten Muchin Rosenman LLP.

All rights reserved. Katten refers to Katten Muchin Rosenman LLP and the affiliated partnership as explained at katten.com/disclaimer.