



Zachary M. Schmitz

Associate

Financial Markets Litigation and Enforcement

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Zachary Schmitz helps clients in the financial services industry facing litigation and regulatory enforcement, internal investigations and other complex commercial litigation. He has extensive experience relating to futures, options and other complex derivatives; the equities markets; and cryptoassets.

Understanding challenges faced by complex products

Zach's practice includes defending financial services clients in investigations and enforcement proceedings before financial regulators, such as the Securities and Exchange Commission (SEC), the Commodity Futures Trading Commission (CFTC), self-regulatory bodies and at stock, option and futures exchanges. Zach also has handled retail investor arbitrations and litigation. He brings with him a deep understanding of financial products and trading practices.

News

- Katten Legal Clinic Featured by PLI's 'Pursuing Justice' Podcast (November 3, 2023)
- Katten Honors Attorneys for Providing Pro Bono Services (October 30, 2023)

Publications

- Financial Markets and Funds *Quick Take* | Issue 36 (March 2025)
- Clearer Skies Ahead: CFTC Enforcement Division's New Advisory Opens Doors for Self-Reporting and Increased Cooperation (March 7, 2025)
- Will History Repeat Itself? Peering Into the Past to Predict the Next Four Years of CFTC Enforcement Actions (January 28, 2025)

Practices

- Cryptoassets and Blockchain Technology
- Financial Markets Litigation and Enforcement
- Litigation

Education

- JD, The University of Chicago Law School
- BS, Missouri State University

Bar Admissions

- Illinois

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Presentations and Events

- Futures and Derivatives Law Committee (November 17, 2021) | *Presenter* | *Digital Asset Topics in the News: Tether & Bitfinex Enforcement; CT Jury Finds Crypto Products Not to be Securities; Stablecoins & More*
- Market Correction Curriculum: Ponzi Schemes: Dealing With Regulatory and Litigation Risks Exposed by Market Downturns (June 2, 2020) | *Presenter*