



# David Luger

Partner

Litigation

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David Luger's nuanced understanding of complex financial products gives him an edge when his financial industry clients are scrutinized in investigations or litigation. Whether he is facing a regulator, an arbitration panel, a judge or a jury, David has the understanding to explain and defend his clients' business practices. Clients ranging from Wall Street banks to individual traders turn to him for his knowledge of securities and futures trading.

## A versatile defender

When disputes arise, David is comfortable in any forum, including district and appellate courts, arbitrations and financial industry panels. He has represented clients before numerous regulatory bodies — including the Securities and Exchange Commission, Commodity Futures Trading Commission and the Financial Industry Regulatory Authority — as well as arbitration forums and courts around the country. In one matter, he successfully defended a client against a securities class action while winning a series of arbitration victories arising from the same activity.

David can quickly master the intricacies of esoteric new financial products. When a large bank came under scrutiny for novel trading practices, David explained the business case behind it in a way that regulators could understand, and convinced them to decline serious enforcement action. In another matter, he successfully defended an online broker in litigation and arbitration by putting the disputes in the context of complex market forces.

## Recognitions

*Recognized or listed in the following:*

- *Crain's Chicago Business*
  - Notable Rising Stars in Law, 2021
- Katten Muchin Rosenman LLP Pro Bono Service Award
  - 2020

## Practices

- Financial Markets Litigation and Enforcement
- Litigation

## Education

- JD, Northwestern University School of Law
- BA, University of Pennsylvania

## Bar Admissions

- Illinois

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- *Super Lawyers Rising Stars*
  - Illinois, 2013–2023

## News

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- Katten Attorneys Selected to 2023 Illinois Super Lawyers, Rising Stars Lists (January 24, 2023)
- Katten Attorneys Named to 2022 Illinois Super Lawyers, Rising Stars Lists (January 28, 2022)
- Coronavirus (COVID-19) Resource Center (November 10, 2021)
- Charles DeVore and David Luger Named to *Crain's Chicago Business* 2021 Notable Rising Stars in Law (September 7, 2021)
- Katten Attorneys Chosen for 2021 Illinois Super Lawyers, Rising Stars Lists (January 29, 2021)
- Katten Attorneys Honored for Their Sustained Commitment to Pro Bono Service Including COVID-Related Work (October 28, 2020)
- Katten Partners with ACLU in Defending Constitutional Rights of Prisoners Incarcerated at Louisiana Prison Impacted by Coronavirus Outbreak (April 7, 2020)
- Katten Attorneys Selected to 2020 Illinois Super Lawyers, Rising Stars Lists (January 29, 2020)
- Super Lawyers Lists Honor More Than 50 Katten Attorneys in Illinois (January 24, 2019)
- Katten Announces Partner Promotions (August 1, 2018)
- Katten Attorneys Recognized in 2018 Illinois Super Lawyers, Rising Stars Lists (January 25, 2018)
- Katten Attorneys Recognized in 2017 Illinois *Super Lawyers*, Rising Stars Lists (January 13, 2017)
- Forty-Seven Katten Attorneys Recognized in 2016 Illinois *Super Lawyers* List (January 8, 2016)
- Katten Pro Bono Team Helps Clear Wrongly Convicted Man of Murder Charge (June 8, 2015)
- Fifty-Three Katten Attorneys Recognized in 2015 Illinois *Super Lawyers* List (January 12, 2015)

## Publications

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- Financial Markets and Funds *Quick Take* | Issue 30 (September 12, 2024)

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- Recent DC Circuit Court of Appeals Case Brings FINRA to the Forefront of the SRO State Actor Controversy (July 17, 2023)

## Presentations and Events

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- Market Manipulation in Electronic Securities Markets (November 19, 2015) | *Presenter*