

Ted A. Huffman

Partner Litigation

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Ted Huffman represents clients in high-stakes business disputes, often involving financial services litigation or securities cases. He has achieved a number of remarkable results in his career, while helping clients in the face of difficult and even existential legal challenges. His practice covers a variety of industries and subject matters, including consumer finance regulation and compliance, corporate and securities fraud, banking regulation, commercial contract disputes, mergers and acquisition litigation, corporate governance, anticompetitive conduct, patent and intellectual property rights, government enforcement actions, and class action proceedings.

A skilled, forward-thinking advocate when stakes are high

Strategic, knowledgeable and intensely focused on advancing client objectives, Ted takes a practical approach to furthering the likelihood of favorable case outcomes, whether at trial or before. He works tirelessly to defend his clients' interests in litigation and to secure relief against adverse commercial parties, government agencies and other opposing litigants.

Ted is regularly acknowledged for his work and experience in commercial litigation and securities matters. *Best Lawyers*®has included him multiple times on its list of Ones to Watch in America™ in the practice areas of Commercial and Securities Litigation. *D Magazine* named Ted to its list of Best Lawyers Under 40 and *Super Lawyers (Thomson Reuters)* has recognized him as a Texas Rising Star. These acknowledgments demonstrate how clients and colleagues have come to value Ted's counsel.

Beyond his professional commitments, Ted actively engages in community work. He volunteers his time and serves on committees for organizations such as the Dallas Bar Association (DBA), Dallas Area Habitat for Humanity and the Dallas Volunteer Attorney Program (DVAP). Ted also serves as co-chair of the DBA's Home Project Committee, for which he helps run the DBA's annual build initiative with Habitat.

Practices

- Antitrust and Competition
- Artificial Intelligence
- Class Action Litigation
- Commercial Litigation
- Consumer Finance Litigation and Regulatory Compliance
- Intellectual Property Litigation
- Litigation
- Patent Litigation and Patents
- Real Estate Litigation
- Securities Litigation

Education

- JD, Southern Methodist University Dedman
 School of Law
- BA, Vanderbilt University

Bar Admissions

Texas

Court Admissions

- US Court of Appeals, Fifth Circuit
- US District Court, Northern District of Texas
- US District Court, Eastern District of Texas
- US District Court, Southern District of Texas
- US District Court, Western District of Texas

Community Involvements

- Dallas Bar Association, Publications Committee, Former Chair; Home Project Committee, Co-Chair, in association with Dallas Area Habitat for Humanity
- Dallas Bar Foundation, Fellow

Representative Experience

- Co-chaired a five-day administrative securities trial, in which the state's own hearing officer and a state trial court found — in a nearly unprecedented case — that the allegations of the state securities division were unsupported by the evidence.*
- Represented a real estate developer in a U.S. Fifth Circuit Court of Appeals matter resulting in a Fifth Circuit opinion vacating an SEC receivership and imposing important restrictions to protect parties in future securities enforcement actions brought by the SEC.*
- Represented a large Texas bank in a multi-million dollar fraud and breach of contract case against a third-party finance company, resulting in a more than \$8 million recovery.*
- Represented a regional bank in a post-merger fraud litigation against prior bank executive to secure a seven-figure recovery valued at two times actual economic damages.*
- Represented an oil and gas company and its officers in defense of a state securities law matter, which resulted in the clients obtaining a six-figure recovery on counterclaims *against the plaintiff.**
- Represented public companies in varying types of securities fraud and/or derivative shareholder litigation matters.
- Secured pre-answer case dismissals with zero liability findings in multiple FINRA investor actions.*
- Secured injunctive relief on behalf of a \$4 billion bank against a checking account software company to protect \$600 million in customer checking account deposits held at the bank.*
- Represented clients across multiple industries in trademark enforcement matters and obtained significant monetary recovery and injunctive relief protection against marketplace competitors.
- Represented a variety of companies in the consumer financial services industry in defense of class action privacy, TCPA, debt collection, or other mass consumer claims and obtained pre-class certification resolutions or case dismissals.
- Advised on transactional and corporate governance matters related to contractual terms and positional preparation for the new Texas Business Courts, opening on September 1, 2024.

- DAYL Foundation, Life Fellow
- Texas Bar Foundation, Fellow
- The William "Mac" Taylor American Inn of Court, Barrister
- The Honorable Barbara M.G. Lynn American Inn of Court, Former Associate and Alumni
- The Honorable Patrick E. Higginbotham American Inn of Court, Former Associate and Alumni

Ted A. Huffman Partner

- Enforced numerous non-competition and non-solicitation covenants, non-interference agreements, protective confidentiality clauses, and other restrictive covenants against client competitors and former company principals, including by obtaining temporary restraining orders and other injunctive relief to protect clients' confidential information, trade secrets, and other business interests.
- Obtained judgments and other favorable rulings in case dispositive proceedings, including in cases of patent infringement, trade secrets theft, mergers and acquisitions litigation, common law fraud, securities fraud, business and contract disputes, receivership proceedings, labor and employment matters, real property litigation, and construction law.*
- Prepared orders and initial memorandum opinions as a judicial law clerk in hundreds of civil and criminal matters, to be reviewed and revised by federal district court chief judge.*
- Assisted appellate panel for United States Court of Appeals for the Ninth Circuit in connection with oral argument preparation and initial opinion drafting for review and revision by panel judges.*
- Obtained protective order relief under Chapter 7A of the Texas Code of Criminal Procedure in protection of client company.

*Experience prior to Katten

Recognitions

Recognized or listed in the following:

- Best Lawyers in America
 - o Ones to Watch
 - o Commercial Litigation, 2022–2025
 - o Litigation Securities, 2023-2025
- Dallas Bar Association
 - Jo Anna Moreland Outstanding Committee Chair Award, Publications Committee, 2023
- Dallas Regional Chamber
 - Young Professionals' LEAD YP, 2021
- Dallas Volunteer Attorney Program
 - o Outstanding Virtual Clinic Volunteer Attorney Award, 2022
 - Volunteer of the Month (August), 2021

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- D Magazine
 - o Best Lawyers Under 40, 2021-2025
- E. Randolph Williams Award for Pro Bono Service, 2021
- Hunton Andrews Kurth
 - The Annual Litigation Writing Award, Best Legal Brief, 2021
- Super Lawyers Rising Stars
 - o Texas, 2024

News

- Katten Represents HITRUST in Securing Growth Investment From Brighton Park (March 19, 2025)
- Four Katten Partners Make D Magazine's 2025 "Best Lawyers Under 40" List (January 9, 2025)
- Katten Dallas Attorneys Recognized by Texas Super Lawyers 2024 (September 17, 2024)
- Katten Attorneys Recognized as *Best Lawyers*® and *Best Lawyers: Ones to Watch*® Award Recipients (August 15, 2024)
- Katten Attorneys Named to 2024 Super Lawyers Texas Rising Stars List (March 21, 2024)
- Banner Year for Katten on *D Magazine*'s 2024 'Best Lawyers Under 40' List (January 11, 2024)
- Katten Attorneys Distinguished by Best Lawyers® (August 17, 2023)
- Ted Huffman's Arrival at Katten Garners Coverage From *Law360, Bloomberg Law, Reuters* and More (July 25, 2023)
- Katten Dallas Office Grows With Litigation Partner (July 10, 2023)

Publications

- <u>AI Regulation: A Review of AI Usage in the Consumer Finance</u> <u>Industry and the Growing Federal and State Regulation of the</u> <u>Technology | Consumer Finance Law Quarterly Report</u> (March 2025)
- Privacy, Data and Cybersecurity Quick Clicks | Issue 26 (February 2025)
- Federal Court Finds Consumer Wire Transfers Are Subject to the Electronic Fund Transfer Act (February 25, 2025)
- CFPB Proposes a New Rule Prohibiting Certain Contractual Terms for Consumer Financial Products (January 30, 2025)

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- What Transactional Attys Must Know About Texas Biz Courts (May 22, 2024)
- Supreme Court Finds CFPB Funding Constitutional (May 16, 2024)
- The Latest Chapter in the Government's War on Purported "Junk" Fees: The CFPB Moves to Define Overdraft Services as Credit and to Cap Fee Amounts (January 19, 2024)
- Nuances of Texas Bank Litigation (January 2024)
- Privacy, Data and Cybersecurity Quick Clicks | Issue 11 (November 2023)
- New FTC Rule Requires Certain Financial Institutions to Report Loss of Unencrypted Customer Data (November 1, 2023)
- Junk or a Value-Added Service? Federal Regulators and the Biden Administration Go After "Junk" Fees (October 17, 2023)

Presentations and Events

- <u>Business Development Boot Camp | Presented by Dallas Association</u> of Young Lawyers (June 27, 2024) | Speaker | Ethics of New Clients
- The Honorable Barbara M.G. Lynn American Inn of Court (2019) | Copresenter | Intellectual Property Checklist for Non-Profits
- The Honorable Barbara M.G. Lynn American Inn of Court (2019) | Copresenter | Trademark Law Update
- US Fifth Circuit Court of Appeals, In Chambers (2018) | Co-presenter | Criminal Law Update
- The Honorable Patrick E. Higginbotham American Inn of Court (2016) | *Co-presenter* | *Legal Jeopardy*