

# Brian Reilly

## Staff Attorney

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### Practices

FOCUS: Litigation

Financial Markets Litigation and Enforcement

### Education

JD, Loyola University Chicago School of Law, *magna cum laude*

BA, The University of Iowa

### Bar Admissions

Illinois

### Community Involvements

Illinois State Bar Association

The Chicago Bar Association

Brian Reilly concentrates his practice on litigation and dispute resolution with a focus on the financial services industry. He assists proprietary-trading firms, clearing firms, foreign exchange dealers, brokers, advisers and financial institutions such as investment banks facing inquiries and enforcement actions brought against them by regulatory agencies. These may include self-regulatory organizations, the Securities and Exchange Commission or Commodity Futures Trading Commission and, in some cases, local, state and federal court.

### Regulator experience provides unique insight

As a Certified Fraud Examiner and former regulator in the commodities futures arena for almost five years, Brian understands the risks and regulatory exposure his clients face. He understands what regulators are looking for and can help clients navigate applicable financial rules and regulations. For example, he knows that if a client is aware that they have violated a rule, demonstrating cooperation and remediation is key to minimizing penalties for violations. Brian's understanding of financial products and prior regulatory dealings with an array of market participants has enabled him to craft and execute effective defense strategies against regulators to ensure the best possible outcome for our clients.

Prior to joining the firm, Brian worked as an investigator and manager with National Futures Association (NFA) in its Financial Analysis and Surveillance group. Brian headed numerous investigations of financial institutions and as a result, has extensive knowledge of NFA rules and US Commodity Futures Trading Commission (CFTC) regulations.

Before NFA, Brian worked at Northern Trust Bank where he primarily facilitated settlement of global and domestic securities trades for large investment managers. While in law school, Brian served as a judicial extern for the Honorable Sharon Johnson Coleman in the US District Court for the Northern District of Illinois.