

October 1, 2014

Attached please find the updated Foreign Listed Stock Index Futures and Options Approvals Chart, current as of October 1, 2014. All prior versions are superseded and should be discarded. Please note the following developments since we last distributed the Approvals Chart:

- (1) The CFTC has approved the following contracts for trading by U.S. Persons: (i) Montreal Exchange's futures contract based on the FTSE Emerging Markets Index; (ii) London Stock Exchange Derivatives' futures contract based on the FTSE 100 Index; and (iii) London Stock Exchange Derivatives' futures contract based on the FTSE UK Large Cap Super Liquid Index.
- (2) The SEC has not approved any new foreign equity index options since we last distributed the Approvals Chart. However, ICE Futures Europe, Osaka Exchange, Euronext Paris, Euronext Brussels and Euronext Amsterdam have claimed relief under the LIFFE A&M and Class Relief SEC No-Action Letter (Jul. 1, 2013) to offer Eligible Options to Eligible U.S. Institutions. See note 16.
- (3) The derivative market of the Tokyo Stock Exchange has been integrated into, and those products now trade on, the Osaka Exchange.
- (4) The status of the Dow Jones Euro STOXX Banking Sector traded on Eurex has been clarified. See note 8.

For Additional Information

The information on the attached Approvals Chart is subject to change at any time. If you have questions or would like confirmation of the status of a specific contract, please contact:

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Foreign Listed Stock Index Futures and Options Approvals

(October 2014)

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Australia/ASX/ASX Futures Exchange	S&P/ASX 200	Approved for sale to U.S. Persons (July 24, 2002 No-Action Letter issued to ASX Futures Exchange)	None offered	Approved for Eligible U.S. Institutions (August 13, 2007 SEC No-Action Letter issued to ASX Limited)	Not approved
Australia/ASX/ASX Futures Exchange	S&P/ASX 200 A-Reit	Not approved	None offered	Approved for Eligible U.S. Institutions (August 13, 2007 SEC No-Action Letter issued to ASX Limited)	None offered
Australia/ASX/ASX Futures Exchange	S&P/ASX 50	Approved for sale to U.S. Persons (July 24, 2002 No-Action Letter issued to ASX Futures Exchange)	None offered	Approved for Eligible U.S. Institutions (August 13, 2007 SEC No-Action Letter issued to ASX Limited)	None offered
Australia/ASX/ASX Futures Exchange	Single name equities	None offered	None offered	Approved for Eligible U.S. Institutions (August 13, 2007 SEC No-Action Letter issued to ASX Limited)	None offered
Australia/Sydney Futures Exchange (SFE)	1-Day Option Contract based on the CBOT Mini-Sized Dow Futures Contract	None offered	Approved for sale to U.S. Persons (August 8, 2006 No-Action Letter issued to SFE)	None offered	None offered
Australia/Sydney Futures Exchange (SFE)	SPI 200	Approved for sale to U.S. Persons (August 10, 1999 No-Action Letter issued to SFE)	Approved for sale to U.S. Persons (August 10, 1999 No-Action Letter issued to SFE)	Not approved	None offered
Austria/Wiener Borse	ATX	Not approved	None offered	Not approved	None offered

For the definitions of "Eligible U.S. Institution" and "Eligible Option" and for other explanatory information, please see the endnotes at the end of this table.

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Austria/Wiener Borse	CECE Indices (CEX, CTX, HTX, PTX)	Not approved	None offered	Not approved	None offered
Austria/Wiener Borse	Russian Traded	Not approved	None offered	Not approved	None offered
Austria/Wiener Borse	Single name equities	None offered	None offered	Not approved ⁴	None offered
Belgium/Euronext Brussels ¹⁶	BEL 20	Approved for sale to U.S. Persons (August 31, 2011 No-Action Letter issued to Euronext Brussels)	None offered	Approved for Eligible U.S. Institutions (September 17, 2014 Representation Letter from Euronext Brussels to SEC) ⁴	None offered
Belgium/Euronext Brussels ¹⁶	Mini BEL 20 (index participation units)	None offered	None offered	Not approved	None offered
Belgium/Euronext Brussels ¹⁶	Mini Dow Jones Euro STOXX (index participation units)	None offered	None offered	Not approved	None offered
Belgium/Euronext Brussels ¹⁶	Single name equities	Not approved	None offered	Approved for Eligible U.S. Institutions for Eligible Options on individual equities that are listed and traded on Euronext Brussels (September 17, 2014 Representation Letter from Euronext Brussels to SEC) ⁴	None offered
Brazil/ BM&F Bovespa S.A.	BSE Sensitive	Approved for sale to U.S. Persons (March 12, 2013 certification)	Not approved	Not approved	None offered
Brazil/ BM&F Bovespa S.A.	FTSE/JSE Top 40	Approved for sale to U.S. Persons (March 12, 2013 certification)	Not approved	Not approved	None offered

For the definitions of "Eligible U.S. Institution" and "Eligible Option" and for other explanatory information, please see the endnotes at the end of this table.

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Brazil/ BM&F Bovespa S.A.	Hang Seng	Approved for sale to U.S. Persons (March 12, 2013 certification)	Not approved	Not approved	None offered
Brazil/ BM&F Bovespa S.A.	Ibovespa	Approved for sale to U.S. Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.) ²	Approved for sale to U.S. Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.)	Not approved	None offered
Brazil/ BM&F Bovespa S.A.	Ibovespa Flex	None offered	None offered	Not approved	None offered
Brazil/ BM&F Bovespa S.A.	IBrX 50 Index Futures Contract	Approval pending	Not approved	Not approved	None offered
Brazil/ BM&F Bovespa S.A.	MICEX	Approved for sale to U.S. Persons (March 12, 2013 certification)	None offered	None offered	None offered
Brazil/ BM&F Bovespa S.A.	Mini Ibovespa	Approved for sale to U.S. Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.)	None offered	None offered	None offered
Brazil/ BM&F Bovespa S.A.	S&P 500 Index	Approved for sale to U.S. Persons (September 24, 2012 certification)	None offered	None offered	None offered
Canada/Montreal Exchange (Bourse de Montréal)	FTSE Emerging Markets Index	Approved for sale to U.S. Persons (March 21, 2014 CFTC No-Action Letter issued to Montreal Exchange)	None offered	None offered	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Canada/Montreal Exchange (Bourse de Montréal)	iUnits S&P/TSE 60 Index Participation Fund (i60 Fund)	None offered	None offered	None offered	Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)
Canada/Montreal Exchange (Bourse de Montréal)	iUnits S&P/TSX Capped Energy Index Fund	None offered	None offered	None offered	Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)
Canada/Montreal Exchange (Bourse de Montréal)	iUnits S&P/TSX Capped Financials Index Fund	None offered	None offered	None offered	Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)
Canada/Montreal Exchange (Bourse de Montréal)	iUnits S&P/TSX Capped Gold Index Fund	None offered	None offered	None offered	Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)
Canada/Montreal Exchange (Bourse de Montréal)	iUnits S&P/TSX Capped Information Technology Index Fund	None offered	None offered	None offered	Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)
Canada/Montreal Exchange (Bourse de Montréal)	S&P/TSX 60 Index Mini Futures	Approved for sale to U.S. Persons (April 19, 2012 certification)	None offered	None offered	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Canada/Montreal Exchange (Bourse de Montréal)	S&P/TSX Canada 60	Approved for sale to U.S. Persons (December 9, 1999 CFTC No-Action Letter issued to Montreal Exchange) ³	None offered	Approved, including approval of all other index options, for sale to U.S. Persons generally that are now or may in the future be listed (Post-Effective Amendment No. 27 to Registration Statement on Form S-20 filed by Canadian Derivatives Clearing Corp.; SEC File No. 2-69458)	None offered
Canada/Montreal Exchange (Bourse de Montréal)	S&P/TSX Capped Sector	Not approved	None offered	Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above)	None offered
Canada/Montreal Exchange (Bourse de Montréal)	Single name equities	None offered	None offered	Approved for all options on individual securities listed on the Montreal Exchange that are now or may in the future be listed for sale to U.S. Persons generally (Post-Effective Amendment No. 27 to Registration Statement on Form S-20 filed by Canadian Derivatives Clearing Corp.; SEC File No. 2-69458)	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
China (see also Hong Kong and Taiwan)/China Financial Futures Exchange	CSI 300 Index Futures	Not approved	None offered	None offered	None offered
Denmark/FUTOP Market	KFX (Large/Small Contract)	Not approved	None offered	Not approved	None offered
Denmark/OMX Nordic Exchange Copenhagen A/S	Single name equities	None offered	None offered	Not approved ^{4,11}	None offered
Finland/OMX Nordic Exchange Helsinki	Single name equities	None offered	None offered	Not approved ^{4,5}	None offered
France/Euronext Paris S.A. ¹⁶	CAC40	Approved for sale to U.S. Persons (December 17, 1991 No-Action Letter issued to ParisBourse) ³	None offered	Approved for Eligible U.S. Institutions (July 17, 1996 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse) ⁴	None offered
France/Euronext Paris S.A. ¹⁶	CAC40 Mini	Approved for sale to U.S. Persons (September 13, 2013 certification)	None offered	None offered	None offered
France/Euronext Paris S.A. ¹⁶	Dow Jones Euro STOXX 50	Approved for sale to U.S. Persons (February 29, 2000 CFTC No-Action Letter issued to ParisBourse) ³	None offered	Approved for Eligible U.S. Institutions (October 26, 1998 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse)	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
France/Euronext Paris S.A. ¹⁶	Dow Jones STOXX 50	Approved for sale to U.S. Persons (February 29, 2000 CFTC No-Action Letter issued to ParisBourse) ³	None offered	Approved for Eligible U.S. Institutions (October 26, 1998 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse)	None offered
France/Euronext Paris S.A. ¹⁶	Dow Jones STOXX 600 Sectors (Bank, Energy, Healthcare, Technology, Telecommunications)	Not approved	None offered	Not approved	None offered
France/Euronext Paris S.A. ¹⁶	FTSE EPRA/NAREIT Euro Zone	Approved for sale to U.S. Persons (July 10, 2008 CFTC No-Action Letter issued to Euronext LIFFE, on behalf of Euronext Paris S.A.)	None offered	None offered	Not approved
France/Euronext Paris S.A. ¹⁶	FTSE EPRA/NAREIT Europe	Approved for sale to U.S. Persons (July 10, 2008 CFTC No-Action Letter issued to Euronext LIFFE, on behalf of Euronext Paris S.A.)	None offered	None offered	Not approved
France/Euronext Paris S.A. ¹⁶	FTSEurofirst 100	Approved for sale to U.S. Persons (March 5, 2007 CFTC No-Action Letter issued to Euronext LIFFE, on behalf of Euronext Paris S.A.)	None offered	None offered ⁴	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
France/Euronext Paris S.A. ¹⁶	FTSEurofirst 80	Approved for sale to U.S. Persons (March 5, 2007 CFTC No-Action Letter issued to Euronext LIFFE, on behalf of Euronext Paris S.A.)	None offered	Approved for Eligible U.S. Institutions (September 17, 2014 Representation Letter from Euronext Paris to SEC) ⁴	None offered
France/Euronext Paris S.A. ¹⁶	Single name equities	Not approved	None offered	Approved for Eligible U.S. Institutions for Eligible Options on individual equities that are listed and traded on Euronext Paris or other specified markets ¹⁸ (September 17, 2014 Representation Letter from Euronext Paris to SEC) ^{4,5}	None offered
Germany/Börse Frankfurt	Single name equities	None offered	None offered	Not approved ^{4,5}	None offered
Germany/Eurex	DAX	Approved for sale to U.S. Persons (December 20, 1994 CFTC No-Action Letter issued to Deutsche Terminborse) ³	None offered	Approved for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)	None offered
Germany/Eurex	DAX EX	Not approved	None offered	None offered	Approved for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)
Germany/Eurex	DJ Banks Titans 30	Not approved	None offered	None offered	None offered
Germany/Eurex	DJ Insurance Titans 30	Not approved	None offered	None offered	None offered
Germany/Eurex	DJ Oil and Gas Titans 30	Not approved	None offered	None offered	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Germany/Eurex	DJ Telecommunications Titans 30	Not approved	None offered	None offered	None offered
Germany/Eurex	DJ Utilities Titans 30	Not approved	None offered	None offered	None offered
Germany/Eurex	Dow Jones Euro STOXX 50	Approved for sale to U.S. Persons (April 6, 2000 CFTC No-Action Letter issued to Eurex) ³	None offered	Approved for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)	None offered
Germany/Eurex	Dow Jones Euro STOXX 50 Dividend	Not approved	Not approved	None offered	None offered
Germany/Eurex	Dow Jones Euro STOXX 50 EX	Not approved	None offered	Not approved	Approved for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)
Germany/Eurex	Dow Jones Euro STOXX Banking Sector	Not approved ⁸	None offered	Not approved	None offered
Germany/Eurex	Dow Jones Euro STOXX Select Dividend 30	Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Dow Jones Global Titans 50	Approved for sale to U.S. Persons (July 18, 2002 CFTC No-Action Letter issued to Eurex)	None offered	Approved for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)	None offered
Germany/Eurex	Dow Jones Global Titans 50 Index (US \$ Denominated)	Approved for sale to U.S. Persons (June 5, 2012 certification)	None offered	None offered	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Germany/Eurex	Dow Jones Italy Titans 30	Approved for sale to U.S. Persons (November 23, 2005 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Dow Jones STOXX 50	Approved for sale to U.S. Persons (April 6, 2000 CFTC No-Action Letter issued to Eurex) ³	None offered	Approved for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)	None offered
Germany/Eurex	Dow Jones STOXX 600	Approved for sale to U.S. Persons (June 18, 2007 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Dow Jones STOXX 600 Banking Sector	Approved for sale to U.S. Persons (April 2, 2002 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Dow Jones STOXX 600 Industrial Goods & Services	Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Dow Jones STOXX 600 Insurance	Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Dow Jones STOXX 600 Media	Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Germany/Eurex	Dow Jones STOXX 600 Personal & Household Goods	Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex) ⁷	None offered	Not approved	None offered
Germany/Eurex	Dow Jones STOXX 600 Retail	Not approved	None offered	Not approved	None offered
Germany/Eurex	Dow Jones STOXX 600 Travel & Leisure	Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Dow Jones STOXX 600 Utilities	Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Dow Jones STOXX Large 200	Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Dow Jones STOXX Mid 200	Approved for sale to U.S. Persons (June 18, 2007 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Dow Jones STOXX Small 200	Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Euro STOXX	Approved for sale to U.S. Persons (June 5, 2012 certification)	None offered	Not approved	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Germany/Eurex	Euro STOXX 50 Ex Financials Index	Approved for sale to U.S. Persons (August 27, 2012 certification)	None offered	Not approved	None offered
Germany/Eurex	Euro STOXX Large	Approved for sale to U.S. Persons (June 5, 2012 certification)	None offered	Not approved	None offered
Germany/Eurex	Euro STOXX Mid	Approved for sale to U.S. Persons (June 5, 2012 certification)	None offered	Not approved	None offered
Germany/Eurex	Euro STOXX Small	Approved for sale to U.S. Persons (June 5, 2012 certification)	None offered	Not approved	None offered
Germany/Eurex	HEX 25 Finnish	Not approved	None offered	Approved for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)	None offered
Germany/Eurex	iShares DJ EURO STOXX	None offered	None offered	None offered	Approved for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)
Germany/Eurex	KOSPI 200 Options ⁶	Not approved	None offered	Not approved	None offered
Germany/Eurex	MDAX	Approved for sale to U.S. Persons (March 14, 2006 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	Mini Euro STOXX 50 Volatility Index	Approved for sale to U.S. Persons (July 20, 2012 certification)	None offered	Not approved	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Germany/Eurex	MSCI All Countries Asia Pacific ex-Japan	Approved for sale to U.S. Persons (June 17, 2013 certification)	None offered	Not approved	None offered
Germany/Eurex	MSCI China Free	Approved for sale to U.S. Persons (February 4, 2014 certification)	None offered	None offered	None offered
Germany/Eurex	MSCI Emerging Markets	Approved for sale to U.S. Persons (February 4, 2014 certification)	None offered	Not approved	None offered
Germany/Eurex	MSCI Emerging Markets Asia	Approved for sale to U.S. Persons (February 4, 2014 certification)	None offered	Not approved	None offered
Germany/Eurex	MSCI Emerging Markets EMEA	Approved for sale to U.S. Persons (February 4, 2014 certification)	None offered	Not approved	None offered
Germany/Eurex	MSCI Emerging Markets Latin America	Approved for sale to U.S. Persons (February 4, 2014 certification)	None offered	Not approved	None offered
Germany/Eurex	MSCI Europe	Approved for sale to U.S. Persons (June 17, 2013 certification)	None offered	Not Approved	None offered
Germany/Eurex	MSCI Europe Growth	Approved for sale to U.S. Persons (February 4, 2014 certification)	None offered	Not approved	None offered
Germany/Eurex	MSCI Europe Value	Approved for sale to U.S. Persons (February 4, 2014 certification)	None offered	Not approved	None offered
Germany/Eurex	MSCI India	Approved for sale to U.S. Persons (February 4, 2014 certification)	None offered	None offered	None offered

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Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Germany/Eurex	MSCI Japan	Approved for sale to U.S. Persons (June 17, 2013 certification)	None offered	None offered	None offered
Germany/Eurex	MSCI Malaysia	Approved for sale to U.S. Persons (February 4, 2014 certification)	None offered	None offered	None offered
Germany/Eurex	MSCI South Africa	Approved for sale to U.S. Persons (February 4, 2014 certification)	None offered	None offered	None offered
Germany/Eurex	MSCI Thailand	Approved for sale to U.S. Persons (February 4, 2014 certification)	None offered	None offered	None offered
Germany/Eurex	MSCI World	Approved for sale to U.S. Persons (June 17, 2013 certification)	None offered	Not Approved	None offered
Germany/Eurex	Other Dow Jones Euro STOXX Sectors	Not approved	None offered	Not approved	None offered
Germany/Eurex	Other Dow Jones STOXX 600 Market Sectors	Not approved	None offered	Not approved	None offered
Germany/Eurex	RDX USD ¹²	Not approved	None offered	Not approved	None offered
Germany/Eurex	Single name equities	Not approved	None offered	Approved for options on individual German, Swiss, Finnish, Dutch, Italian, and French equities ⁵ for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Germany/Eurex	SLI Swiss Leader	Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	STOXX Europe 600	Approved for sale to U.S. Persons (June 5, 2012 certification)	None offered	Not approved	None offered
Germany/Eurex	STOXX Europe Large 200	Approved for sale to U.S. Persons (June 5, 2012 certification)	None offered	Not approved	None offered
Germany/Eurex	STOXX Europe Mid 200	Approved for sale to U.S. Persons (June 5, 2012 certification)	None offered	Not approved	None offered
Germany/Eurex	STOXX Europe Small 200	Approved for sale to U.S. Persons (June 5, 2012 certification)	None offered	Not approved	None offered
Germany/Eurex	Swiss Market	Not approved	None offered	Approved for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)	Approved for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)
Germany/Eurex	Swiss Market Index Midcap (SMIM)	Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to Eurex)	None offered	Not approved	None offered
Germany/Eurex	TECDAX	Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to Eurex)	None offered	Approved for Eligible U.S. Institutions (July 27, 2005 SEC No-Action Letter issued to Eurex)	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Greece/Athens Exchange Derivatives Market	FTSE/ASE 20	Not approved	None offered	Not approved	None offered
Greece/Athens Exchange Derivatives Market	FTSE/ASE Mid-40	Not approved	None offered	Not approved	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	CES China 120	Approved for sale to U.S. Persons (September 17, 2013 certification)	None offered	None offered	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	DJIA	Not approved	None offered	None offered	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	FTSE/Xinhua China 25	Approved for sale to U.S. Persons (September 26, 2006 CFTC No-Action Letter issued to HKFE)	None offered	Not approved	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	Hang Seng	Approved for sale to U.S. Persons (June 1, 1994 CFTC No-Action Letter issued to HKFE) ³	None offered	Approved for Eligible U.S. Institutions (September 26, 1995 SEC No-Action Letter issued to HKFE)	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	HSCEI Dividend Point Index	Not Approved	None offered	None offered	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	H-Shares (Hang Seng China Enterprises Index)	Approved for sale to U.S. Persons (September 26, 2006 CFTC No-Action Letter issued to HKFE)	None offered	Not approved	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	HSI Volatility Index (VHSI)	Approved for sale to U.S. Persons (September 17, 2013 certification)	None offered	None offered	None offered

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Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	Mini Hang Seng	Approved for sale to U.S. Persons (January 16, 2009 CFTC No-Action Letter issued to HKFE)	None offered	Not approved	None offered
Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX)	Mini Hang Seng China Enterprises Index	Approved for sale to U.S. Persons (January 16, 2009 CFTC No-Action Letter issued to HKFE)	None offered	Not approved	None offered
Hungary/Budapest Stock Exchange	BUX	Not approved	None offered	None offered	None offered
India/Bombay Stock Exchange (BSE)	BSE Sensitive Index of 30 Stocks (Sensex) ⁹	Approved for sale to U.S. Persons (June 14, 2006 CFTC No-Action Letter issued to BSE)	None offered	Not approved	None offered
India/Bombay Stock Exchange (BSE)	DOLLEX-30 ⁹	Not approved	None offered	Not approved	None offered
India/Bombay Stock Exchange (BSE)	S&P BSE 100 ⁹	Approved for sale to U.S. Persons (September 24, 2013 certification)	None offered	Not approved	None offered
India/National Stock Exchange of India Ltd. (NSE)	Bank Nifty ⁹	Not approved	None offered	Not approved	None offered
India/National Stock Exchange of India Ltd. (NSE)	CNXIT ⁹	Not approved	None offered	Not approved	None offered
India/National Stock Exchange of India Ltd. (NSE)	S&P CNX Nifty ("Nifty 50") ⁹	Approved for sale to U.S. Persons (October 17, 2003 CFTC No-Action Letter issued to NSE)	None offered	Not approved	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Ireland/Irish Stock Exchange	Single name equities	None offered	None offered	Not approved ⁴	None offered
Israel/Tel Aviv Stock Exchange	TA 25	Not approved	None offered	Not approved	None offered
Israel/Tel Aviv Stock Exchange	TA Banks	Not approved	None offered	Not approved	None offered
Italy/Borsa Italiana – IDEM	FTSE MIB Dividend	Approval pending	None offered	None offered	None offered
Italy/Borsa Italiana – IDEM	FTSE MIB ⁴	Approved for sale to U.S. Persons (August 30, 2004 CFTC No-Action Letter issued to Borsa Italiana)	None offered	Approved for Eligible U.S. Institutions (September 24, 2004 No-Action Letter issued to Borsa Italiana)	None offered
Italy/Borsa Italiana – IDEM	Mini FTSE MIB	Approved for sale to U.S. Persons (August 30, 2004 CFTC No-Action Letter issued to Borsa Italiana)	None offered	None offered	None offered
Italy/Borsa Italiana – IDEM	Single name equities	Not approved	None offered	Approved for Eligible U.S. Institutions (see September 1, 1998 SEC No-Action Letter issued to Borsa Italiana with respect to options on individual ISE equities) ^{4,5}	None offered
Japan/Osaka Exchange (OSE) ¹⁶	DJIA	Approved for sale to U.S. Persons (June 15, 2012 certification)	None offered	None offered	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Japan/Osaka Exchange (OSE) ¹⁶	FTSE Japan	Approved for sale to U.S. Persons (February 13, 2003 No-Action Letter issued to OSE)	None offered	None offered	None offered
Japan/Osaka Exchange (OSE) ¹⁶	Mini Nikkei 225/Intercontract Spread	Approved for sale to U.S. Persons (July 11, 2006 CFTC No-Action Letter issued to OSE) ³	None offered	None offered	None offered
Japan/Osaka Exchange (OSE) ¹⁶	Mini TOPIX	Approved for sale to U.S. Persons (November 9, 2009 CFTC No-Action Letter issued to TSE)	None offered	None offered	None offered
Japan/Osaka Exchange (OSE) ¹⁶	Nikkei 225 Dividend	Not approved	None offered	None offered	None offered
Japan/Osaka Exchange (OSE) ¹⁶	Nikkei 225/Intercontract Spread	Approved for sale to U.S. Persons (January 16, 1992 CFTC No-Action Letter issued to OSE) ³	None offered	Approved for Eligible U.S. Institutions (July 23, 1999 SEC No-Action Letter issued to OSE)	None offered
Japan/Osaka Exchange (OSE) ¹⁶	Nikkei Stock Average Volatility	Approved for sale to U.S. Persons (March 9, 2012 certification)	None offered	None offered	None offered
Japan/Osaka Exchange (OSE) ¹⁶	Russell/Nomura Prime	Approved for sale to U.S. Persons (March 14, 2005 No-Action Letter issued to OSE)	None offered	None offered	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Japan/Osaka Exchange (OSE) ¹⁶	Single name equities	None offered	None offered	Approved for Eligible U.S. Institutions for Eligible Options on individual equities that are listed and traded on the OSE or other Japanese exchanges and that meet OSE selection standards (March 14, 2014 Representation Letter from Tokyo Stock Exchange and Osaka Securities Exchange to SEC)	None offered
Japan/Osaka Exchange (OSE) ¹⁶	Tokyo Stock Exchange REIT	Approved for sale to U.S. Persons (November 9, 2009 CFTC No-Action Letter issued to TSE)	None offered	None offered	None offered
Japan/Osaka Exchange (OSE) ¹⁶	TOPIX	Approved for sale to U.S. Persons (January 16, 1992 CFTC No-Action Letter issued to TSE) ³	None offered	Approved for Eligible U.S. Institutions (July 27, 1999 SEC No-Action Letter issued to TSE)	None offered
Japan/Osaka Exchange (OSE) ¹⁶	TOPIX 150	Approved for sale to U.S. Persons (May 23, 2001 CFTC No-Action Letter issued to TSE) ³	None offered	Approved for Eligible U.S. Institutions (November 15, 2002 SEC No-Action Letter issued to TSE)	None offered
Japan/Osaka Exchange (OSE) ¹⁶	TOPIX Banks	Not approved	None offered	None offered	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Japan/Osaka Exchange (OSE) ¹⁶	TOPIX Core30	Approved for sale to U.S. Persons (November 9, 2009 CFTC No-Action Letter issued to TSE)	None offered	None offered	None offered
Japan/Tokyo Financial Exchange (TFX)	Nikkei 225 Margin	Not approved	None offered	None offered	None offered
Korea – see South Korea	-	-	-	-	-
Malaysia/Bursa Malaysia Derivatives Berhad (BMD)	FTSE Bursa Malaysia Kuala Lumpur Composite	Approved for sale to U.S. Persons (June 28, 2011 CFTC No-Action Letter issued to Bursa Malaysia Derivatives Berhad)	None offered	Not approved	None offered
Mexico/Mexican Derivatives Exchange (MEXDER)	IPC	Approved for sale to U.S. Persons (August 24, 2006 CFTC No-Action Letter issued to Mercado Mexicano de Derivados, S.A. de C.V.)	Approved for sale to U.S. Persons (August 24, 2006 CFTC No-Action Letter issued to Mercado Mexicano de Derivados, S.A. de C.V.)	None offered	Not approved
Netherlands/Euronext Amsterdam Derivatives Markets N.V. ¹⁶	AEX	Approved for sale to U.S. Persons (April 7, 2003 CFTC No-Action Letter issued to Euronext Amsterdam Derivatives Markets N.V.)	None offered	Approved for Eligible U.S. Institutions (September 17, 2014 Representation Letter from Euronext Amsterdam to SEC) ⁴	None offered
Netherlands/Euronext Amsterdam Derivatives Markets N.V. ¹⁶	AEX Mini	Approved for sale to U.S. Persons (September 13, 2013 certification)	None offered	Not approved	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Netherlands/ Euronext Amsterdam Derivatives Markets N.V. ¹⁶	Amsterdam Mid-Kap	Not approved	None offered	Not approved	None offered
Netherlands/ Euronext Amsterdam Derivatives Markets N.V. ¹⁶	FTSE Eurotop 100	Approved for sale to U.S. Persons (May 14, 1996 CFTC No-Action Letter issued to Financieel Termijnmarkt Amsterdam) ³	None offered	Not approved	None offered
Netherlands/ Euronext Amsterdam Derivatives Markets N.V. ¹⁶	Light AEX	Approved for sale to U.S. Persons (April 7, 2003 CFTC No-Action Letter issued to Euronext Amsterdam Derivatives Markets N.V.)	None offered	Not approved	None offered
Netherlands/ Euronext Amsterdam Derivatives Markets N.V. ¹⁶	Single name equities	Not approved	None offered	Approved for Eligible U.S. Institutions for Eligible Options on individual equities that are listed and traded on Euronext Amsterdam or other specified markets ¹⁷ (September 17, 2014 Representation Letter from Euronext Amsterdam to SEC) ^{4,5}	None offered
New Zealand/NZFOE	NZSE 10	Not approved	Not approved	None offered	None offered
Norway/Oslo Stock Exchange	OBX	Not approved	None offered	Not approved	None offered
Norway/Oslo Stock Exchange	Single name equities	None offered	None offered	Not approved ^{4,11}	None offered

For the definitions of “Eligible U.S. Institution” and “Eligible Option” and for other explanatory information, please see the endnotes at the end of this table.

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Poland/Warsaw Stock Exchange	WIG20	Approval pending	None offered	Not approved	None offered
Portugal/Euronext Lisbon	PSI 20	Not approved	Not approved	None offered ⁴	None offered
Portugal/Euronext Lisbon	Single name equities	Not approved	None offered	Not approved ⁴	None offered
Russia	Single name equities— see London Stock Exchange ¹⁴	-	-	-	-
Russia/Russian Trading System Stock Exchange (RTS)	RTS Index	Approval pending	None offered	Not approved	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	Dow Jones Thailand	Not approved (the requested approval was deemed withdrawn by the CFTC in 2004)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	Euro STOXX 50	Approved for sale to U.S. Persons (March 22, 2012 certification)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	FTSE/Xinhua China A50	Approved for sale to U.S. Persons (January 26, 2012 certification)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	Mini Nikkei 225	Approved for sale to U.S. Persons (December 23, 2009 CFTC No-Action Letter issued to SGX-DT)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Asia APEX 50	Approved for sale to U.S. Persons (January 26, 2012 certification)	None offered	None offered	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Hong Kong +	Approved for sale to U.S. Persons (December 17, 1999 CFTC No-Action Letter issued to SIMEX)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI India	Approved for sale to U.S. Persons (January 20, 2014 certification)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Indonesia	Approved for sale to U.S. Persons (October 2, 2012 certification)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Philippines	Approved for sale to U.S. Persons (January 20, 2014 certification)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Singapore Free	Approved for sale to U.S. Persons (July 11, 2000 CFTC No-Action Letter issued to SGX-DT) ³	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Taiwan	Approved for sale to U.S. Persons (August 29, 1997 CFTC No-Action Letter issued to SIMEX) ³	Approved for sale to U.S. Persons ³	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	MSCI Thailand	Approved for sale to U.S. Persons (January 20, 2014 certification)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	Nikkei	Approved for sale to U.S. Persons (December 5, 1986 CFTC No-Action Letter issued to SIMEX) ³	Approved for sale to U.S. Persons (December 5, 1986 CFTC No-Action Letter issued to SIMEX) ³	None offered	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	Nikkei 300	Approved for sale to U.S. Persons (December 13, 1995 CFTC No-Action Letter issued to SIMEX) ³	Approved for sale to U.S. Persons (December 13, 1995 CFTC No-Action Letter issued to SIMEX) ³	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	S&P CNX Nifty ("Nifty 50")	Approved for sale to U.S. Persons (January 7, 2004 CFTC No-Action Letter issued to SGX-DT)	None offered	Not approved	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	SGX MSCI Japan	Approved for sale to U.S. Persons (February 13, 2003 CFTC No-Action Letter issued to SGX-DT)	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	SGX Straits Times (STI)	Not approved	None offered	None offered	None offered
Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT)	USD Nikkei	Approved for sale to U.S. Persons (May 24, 2007 CFTC No-Action Letter issued to SGX-DT)	None offered	None offered	None offered
South Africa/JSE/SAFEX	ALSI/FTSE JSE 40	Approved for sale to U.S. Persons (July 18, 2002 CFTC No-Action Letter issued to SAFEX)	None offered	Not approved	None offered
South Africa/JSE/SAFEX	Financial	Not approved	None offered	None offered	None offered
South Africa/JSE/SAFEX	FTSE/JSE Shareholder Weighted Top 40 Index (DTOP)	Not approved	Not approved	None offered	None offered
South Africa/JSE/SAFEX	Industrial	Not approved	None offered	None offered	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
South Africa/JSE/SAFEX	Mining	Not approved	None offered	None offered	None offered
South Korea/Korea Exchange	KOSDAQ 50 Plus	Not approved	Not approved	None offered	None offered
South Korea/Korea Exchange	KOSPI 200	Approved for sale to U.S. Persons (November 26, 2008 CFTC No-Action Letter issued to Korea Futures Exchange)	None offered	Not approved	None offered
Spain/Madrid Stock Exchange	Single name equities	None offered	None offered	Not approved ⁴	None offered
Spain/MEFF	IBEX 35	Approved for sale to U.S. Persons (August 8, 1994 CFTC No-Action Letter issued to MEFF) ³	None offered	Not approved	None offered
Spain/MEFF	IBEX 35 Mini	Not approved	Not approved	None offered	None offered
Spain/MEFF	S&P Euro	Approved for sale to U.S. Persons (June 14, 2001 CFTC No-Action Letter issued to MEFF)	None offered	None offered	None offered
Spain/MEFF	S&P Europe 350	Approved for sale to U.S. Persons (June 14, 2001 CFTC No-Action Letter issued to MEFF)	None offered	None offered	None offered
Sweden/OMHEX	HEXTECH	Not approved	Not approved	None offered	None offered
Sweden/OMHEX	HEXTEMP	Not approved	None offered	None offered	None offered
Sweden/OMX Nordic Exchange Stockholm AB	NOX	Not approved	None offered	Not approved	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Sweden/OMX Nordic Exchange Stockholm AB	OBX	Not approved	None offered	Not approved	None offered
Sweden/OMX Nordic Exchange Stockholm AB	OMXcap	Approved for sale to U.S. Persons (May 25, 2000 CFTC No-Action Letter issued to OM Stockholm) ³	None offered	Not approved	None offered
Sweden/OMX Nordic Exchange Stockholm AB	OMXS30 (formerly OMX)	Approved for sale to U.S. Persons (June 3, 1998 CFTC No-Action Letter issued to OM Stockholm) ³	None offered	Not approved	None offered
Sweden/OMX Nordic Exchange Stockholm AB	Single name equities	Not approved	None offered	Not approved ^{4,11}	None offered
Sweden/OMX Nordic Exchange Stockholm AB	VINX30	Approved for sale to U.S. Persons (November 13, 2007 CFTC No-Action Letter issued to OMX Stockholm)	None offered	Not approved	Not approved
Switzerland/SIX Swiss Exchange Ltd	Single name equities	None offered	None offered	Not approved ^{4,5}	None offered
Taiwan/Taiwan Futures Exchange	Electronic Sector	Approved for sale to U.S. Persons (May 16, 2005 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered
Taiwan/Taiwan Futures Exchange	Finance Sector	Approved for sale to U.S. Persons (May 16, 2005 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Taiwan/Taiwan Futures Exchange	GreTai Securities Market Capitalized Weighted	Approved for sale to U.S. Persons (October 24, 2011 No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered
Taiwan/Taiwan Futures Exchange	Mini-TAIEX	Approved for sale to U.S. Persons (July 1, 2004 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	None offered	None offered
Taiwan/Taiwan Futures Exchange	MSCI Taiwan	Approved for sale to U.S. Persons (October 12, 2006 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered
Taiwan/Taiwan Futures Exchange	TAIEX	Approved for sale to U.S. Persons (July 1, 2004 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered
Taiwan/Taiwan Futures Exchange	Taiwan Stock Exchange Non-Finance Non-Electronics	Approved for sale to U.S. Persons (April 1, 2008 CFTC No-Action Letter issued to Taiwan Futures Exchange)	None offered	Not approved	None offered
Taiwan/Taiwan Futures Exchange	TSEC Taiwan 50	Not approved	None offered	None offered	None offered
Thailand/Thailand Futures Exchange PCL	SET50	Approved for sale to U.S. Persons (November 26, 2008 CFTC No-Action Letter issued to Thailand Futures Exchange)	None offered	Not approved	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
Turkey/Borsa İstanbul Derivatives Market (VIOP)	BIST 30 (formerly ISE 30) ¹³	Approved for sale to U.S. Persons (August 17, 2010 CFTC No-Action Letter issued to Turkish Derivatives Exchange)	None offered	Not approved	None offered
U.K./EDX London Exchange	KFX/Flex KFX	Not approved	None offered	Approved for Eligible U.S. Institutions (October 29, 2003 SEC No-Action letter issued to EDX London Exchange)	None offered
U.K./EDX London Exchange	OBX/Flex OBX	Not approved	None offered	Approved for Eligible U.S. Institutions (October 29, 2003 SEC No-Action letter issued to EDX London Exchange)	None offered
U.K./EDX London Exchange	OMX/Flex OMX	None offered	None offered	Approved for Eligible U.S. Institutions (October 29, 2003 SEC No-Action letter issued to EDX London Exchange) ⁴	None offered
U.K./EDX London Exchange	Single name equities	None offered	None offered	Approved for options on individual Swedish, Norwegian and Danish equities ¹¹ for Eligible U.S. Institutions (October 29, 2003 SEC No-Action letter issued to EDX London Exchange)	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
U.K./LIFFE (ICE Futures Europe) ¹⁶	FTSE 100	Approved for sale to U.S. Persons (January 16, 1990 CFTC No-Action Letter issued to LIFFE) ³	None offered	Approved for Eligible U.S. Institutions (May 1, 1992 SEC No-Action Letter issued to LIFFE) ⁴	None offered
U.K./LIFFE (ICE Futures Europe) ¹⁶	FTSE 100 Dividend - RDSA Withholding	Not approved	None offered	None offered	None offered
U.K./LIFFE (ICE Futures Europe) ¹⁶	FTSE 100 FLEX	None offered	None offered	Approved for Eligible U.S. Institutions (March 6, 1996 SEC No-Action Letter issued to LIFFE)	None offered
U.K./LIFFE (ICE Futures Europe) ¹⁶	FTSE 250 (2GBP)	Approved for sale to U.S. Persons (January 10, 2014 certification)	None offered	None offered	None offered
U.K./LIFFE (ICE Futures Europe) ¹⁶	FTSE 250 (Mid-Cap)	Approved for sale to U.S. Persons (July 27, 1994 CFTC No-Action Letter issued to LIFFE) ³	None offered	None offered	None offered
U.K./LIFFE (ICE Futures Europe) ¹⁶	FTSE Eurotop 100	Approved for sale to U.S. Persons (March 10, 2000 CFTC No-Action Letter issued to LIFFE) ³	None offered	None offered	None offered
U.K./LIFFE (ICE Futures Europe) ¹⁶	Mini FTSE 100	Approved for sale to U.S. Persons (May 30, 2001 CFTC No-Action letter issued to LIFFE) ³	None offered	None offered	None offered
U.K./LIFFE (ICE Futures Europe) ¹⁶	MSCI Euro	Approved for sale to U.S. Persons (April 6, 2000 CFTC No-Action Letter issued to LIFFE) ³	None offered	None offered	None offered

Country/Exchange	Underlying	Futures Contracts	Options on Futures Contracts	Equity Options	ETF Options
U.K./LIFFE (ICE Futures Europe) ¹⁶	MSCI Europe (Euro-denominated)	Approved for sale to U.S. Persons (December 6, 2013 certification)	None offered	None offered	None offered
U.K./LIFFE (ICE Futures Europe) ¹⁶	MSCI Pan-Euro	Approved for sale to U.S. Persons (April 6, 2000 CFTC No-Action Letter issued to LIFFE) ³	None offered	None offered	None offered
U.K./LIFFE (ICE Futures Europe) ¹⁶	Single name equities	Not approved	None offered	Approved for Eligible Options on individual equity securities on specified non-U.S. exchanges ¹⁵ for Eligible U.S. Institutions (March 14, 2014 Representation Letter from ICE Futures Europe to SEC)	None offered
U.K./LIFFE (ICE Futures Europe) ¹⁶	TOPIX	Approved for sale to U.S. Persons (December 1, 2011 certification)	None offered	None offered	None offered
U.K./London Stock Exchange Derivatives	FTSE 100	Approved for sale to U.S. Persons (August 28, 2014 certification)	None offered	Not approved ⁴	None offered
U.K./London Stock Exchange Derivatives	FTSE UK Large Cap Super Liquid (FTSE SLQ)	Approved for sale to U.S. Persons (August 28, 2014 certification)	None offered	None offered	None offered
U.K./London Stock Exchange Derivatives	Single name equities	None offered	None offered	Not approved (see U.K./LIFFE) ⁴	None offered
U.K./Turquoise Global Holdings Limited	FTSE 100	Approved for sale to U.S. Persons (June 1, 2012 certification)	None offered	Not approved ⁴	None offered

NOTE: This Chart covers those products and exchanges that are likely to be of greatest interest to market participants in the United States. It is not, therefore, intended to be exhaustive, and is presented without any representation or warranty as to its accuracy, completeness or timeliness. Transmission or receipt of this information does not create an attorney-client relationship with Katten Muchin Rosenman LLP, and the information herein should not be used or relied upon in regard to any particular facts or circumstances without first consulting a lawyer. This Chart does not express any opinion as to the potential application of the laws of any jurisdiction other than the United States. Questions regarding the permissibility of trading in products that are not included in this Chart should be addressed to James D. Van De Graaff at +1.312.902.5227, Kenneth M. Rosenzweig at +1.312.902.5381, Kevin M. Foley at +1.312.902.5372, Gary DeWaal at +1.212.940.6558, Christian B. Hennion at +1.312.902.5521 or Blake J. Brockway at +1.312.902.5438.

"Eligible U.S. Institution": As used in this Chart, in accordance with SEC no-action letters, the term "Eligible U.S. Institution" means, in general, a U.S. Person that (i) is a "qualified institutional buyer" as defined in Rule 144A(a)(1) under the Securities Act of 1933, and (ii) has had prior actual experience in trading U.S.-listed options contracts. For information regarding working definitions of "U.S. Person" as that term is used in this Chart, please contact Katten Muchin Rosenman LLP.

"Eligible Option": As used in this Chart, in accordance with SEC no-action letters, the term "Eligible Option" means an index option or option on an individual security traded on a Foreign Options Market that is not fungible or interchangeable with options traded on any market other than the Foreign Options Market and, accordingly, each position in an Eligible Option issued by a clearing member of the Foreign Options Market can be closed out only on the Foreign Options Market. A "Foreign Options Market" means a non-U.S. derivatives market: (1) on which Eligible Options trade; and (2) that is an organized exchange operated and regulated outside the United States. See LIFFE A&M and Class Relief, SEC No-Action Letter (Jul. 1, 2013) available [here](#).

¹ [Reserved]

² The CFTC No-Action Letter also applies to BM&F Bovespa's "Ibovespa Rollover" and "Forward Points on the Ibovespa" transactions.

³ The index is included in the CFTC's and SEC's joint order dated May 31, 2002, extending the exclusion of such index from the definition of "narrow-based security index" under the Commodity Exchange Act and Securities Exchange Act of 1934 beyond its expiration date of July 11, 2002 (the "Joint Order"). Foreign futures contracts or options on futures contracts on "narrow-based securities indexes" may not be offered or sold to U.S. Persons without the CFTC and SEC first developing joint regulations, which has not yet been done. Accordingly, the Joint Order effectively allows the stock index futures contracts and options on futures contracts that are based on the security indexes included in the Joint Order, which were granted no-action relief by the CFTC prior to the enactment of the Commodity Futures Modernization Act ("CFMA") on December 21, 2000, to continue to be offered and sold to U.S. Persons after July 11, 2002, the date that the exclusion set forth in the CFMA was to expire.

⁴ Bclear allows members to submit an option contract on a non-U.S. security that has been negotiated and completed as an over-the-counter (OTC) transaction so that LIFFE may replace the OTC transaction with an equivalent LIFFE options contract. LIFFE members that intend to offer or sell such products to U.S. Persons must satisfy the following conditions: (i) Bclear services may only be offered to a U.S. person that qualifies as an Eligible U.S. Institution (see above); (ii) the LIFFE member must obtain and maintain certain representations from the customer; and (iii) before transacting, and on an annual basis thereafter, the LIFFE member must file a declaration with LIFFE. For additional information on these conditions, see [LIFFE London Notice No. 3732](#). LIFFE maintains a list of Eligible Options, which includes (1) LIFFE individual equity options based on the securities of non-U.S. issuers and (2) LIFFE index options based on indexes that contain no component securities of U.S. issuers. The list of Eligible Options may be accessed [here](#).

⁵ The SEC also has approved for Eligible U.S. Institutions all options on individual German-, Swiss-, Finnish-, Dutch-, Italian- and French-issued equities where those options are now or in the future listed on Eurex. Options on equities issued in any other country have not been approved at this time for trading by U.S. Persons on Eurex.

⁶ Eurex offers a KOSPI product that Eurex describes as a daily futures contract that expires every night, with any net open positions at the end of trading resulting in the establishment of a corresponding position in the Korean Exchange's KOSPI 200 Options (with strike prices, expiries, put/call and premiums) at the start of the next trading session on the Korean Exchange.

⁷ Pursuant to Eurex Circular 281/11, the Dow Jones STOXX 600 Personal & Household Goods Sector will no longer be tradable on Eurex trading terminals in the United States. after the close of business on February 29, 2012. The previously issued no-action letter approving such product for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex) has not been withdrawn.

⁸ Pursuant to Eurex Circular 260/11, the Dow Jones Euro STOXX Banking Sector is no longer tradable on Eurex trading terminals in the United States; see *also* Eurex Circular 281/11. The previously issued no-action letter approving such product for sale to U.S. Persons (April 2, 2002 CFTC No-Action Letter issued to Eurex) has not been withdrawn.

⁹ Additional restrictions apply to the trading of these products by U.S. Persons.

¹⁰ [Reserved]

¹¹ The SEC also has approved for Eligible U.S. Institutions all options on individual Swedish-, Norwegian- and Danish-issued equities where those options are now or in the future listed on the EDX London exchange. Options on equities issued in any other country have not been approved at this time for trading by U.S. Persons on the EDX London Exchange. Note: EDX London Exchange has been acquired by the Turquoise Exchange.

¹² The RDX USD Index futures and options contracts have not been approved for sale to U.S. Persons. The CFTC approved a similar Eurex contract (RDXxt USD – RDX Extended Index futures contract) for sale to U.S. Persons in a no-action letter issued on March 6, 2008, but Eurex subsequently delisted the contract.

¹³ The Istanbul Stock Exchange (ISE) is now part of the Borsa Istanbul (BIST). As such, references to ISE 30 have been changed to BIST 30 on VIOP's trading platform and the CFTC's website.

- ¹⁴ The London Stock Exchange offers options on Russian equity products through its International Order Book. Providing all applicable conditions are met, Eligible U.S. Institutions may trade these options through the Bclear service offered by LIFFE. See Footnote 4.
- ¹⁵ ICE Futures Europe listed the following non-U.S. stock exchanges in its claim for class relief: Borsa Italiana, Copenhagen Stock Exchange, Deutsche Boerse, Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Helsinki Stock Exchange, Irish Stock Exchange, London Stock Exchange, London Stock Exchange International Order Book, Madrid Stock Exchange, Oslo Stock Exchange, SIX Swiss Exchange, Stockholm Stock Exchange and Vienna Stock Exchange.
- ¹⁶ This exchange has claimed relief under LIFFE A&M and Class Relief SEC No-Action Letter (Jul. 1, 2013) for Foreign Options Markets engaging in familiarization activities with Eligible U.S. Institutions with respect to Eligible Options.
- ¹⁷ Euronext Amsterdam listed the following regulated markets elsewhere in Europe for class relief: Euronext Brussels, Euronext Paris, London Stock Exchange, Deutsche Borse, Borsa Italiana, Bolsas y Mercados Espanoles, Swiss Exchange, OMX Stockholm, Oslo Stock Exchange, OMX Copenhagen, Weiner Borse, Irish Stock Exchange and OMX Helsinki.
- ¹⁸ Euronext Paris listed the following regulated markets elsewhere in Europe for class relief: Euronext Brussels, Euronext Amsterdam, London Stock Exchange, Deutsche Borse, Borsa Italiana, Bolsas y Mercados Espanoles, Swiss Exchange, OMX Stockholm, Oslo Stock Exchange, OMX Copenhagen, Weiner Borse, Irish Stock Exchange and OMX Helsinki.

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